

Organische und anorganische durchstimmbare
photonische Mikrokavitätsbauelemente für die
Datenübertragungstechnik

Organic and inorganic tunable photonic micro-cavity devices
for optical communications

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Content

Motivation and basics

Novel low-cost technology for optical MEMS devices

Stress investigation

- Macroscopically averaged stress (macro stress)
- Microscopically detected stress (micro stress)
- Impact of the stress on cavity length & FWHM of the filters, shape & ROC of the membranes

Optical devices

- Low-cost tunable dielectric Fabry-Pérot filters
- Tunable and non tunable VCSELs

Organic light emitting devices involving novel materials

Summary and outlook

Dielectric microstructures

→ Material characterization

Tunable passive and active air-gap micro-cavity devices

→ Dynamic DWDM systems (filters and VCSELs)

→ Spectroscopy and sensorics

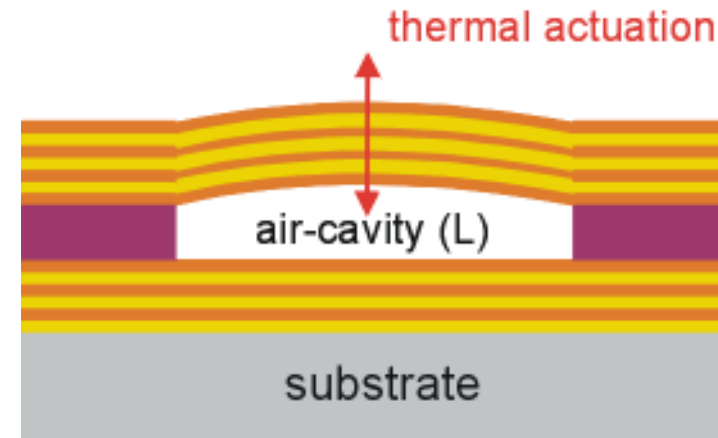
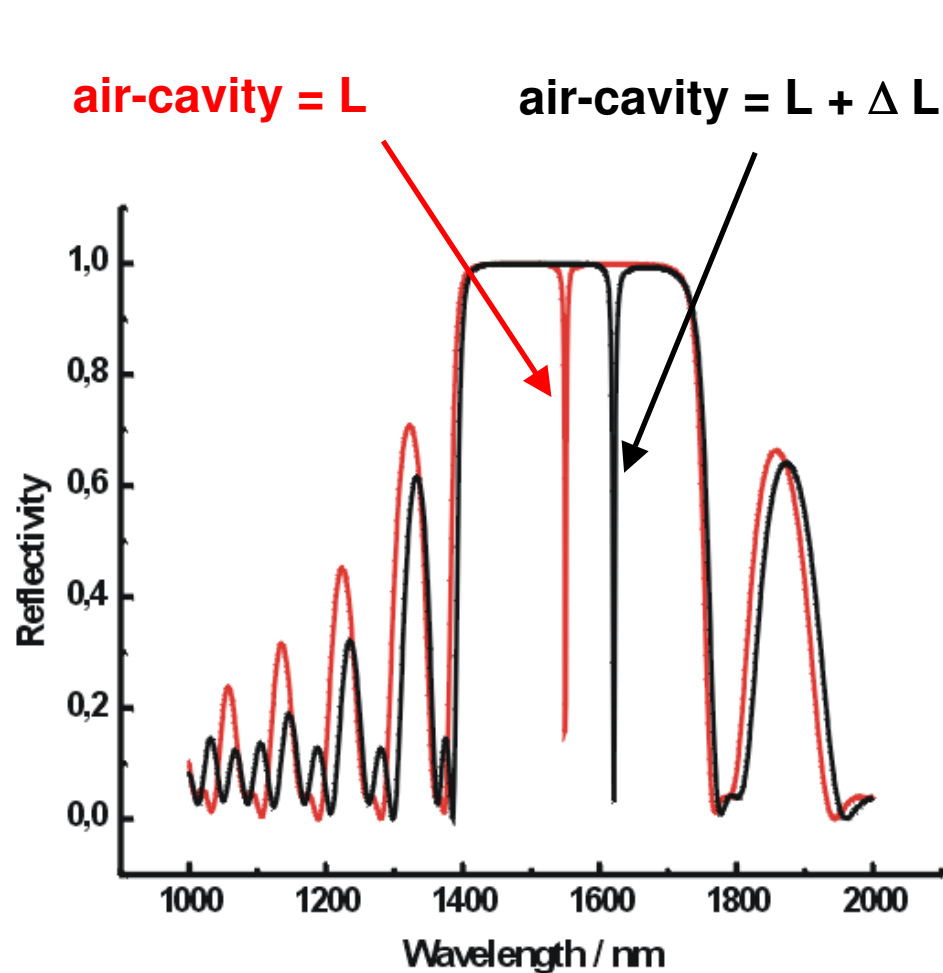
→ Wavelength monitoring

→ Medical applications

Organic light emitting diodes (OLEDs)

→ Display technology (e.g. laser TV, true colors big pannels 10x20m)

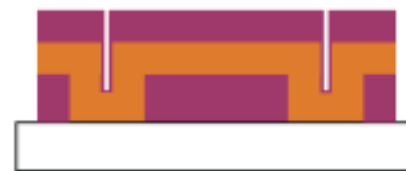
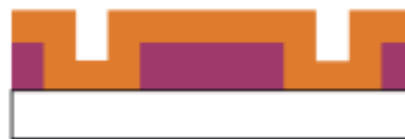
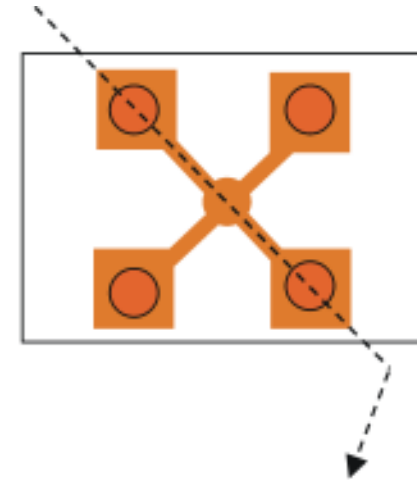
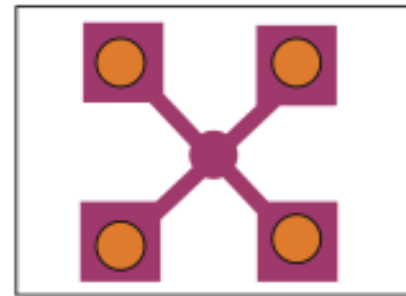
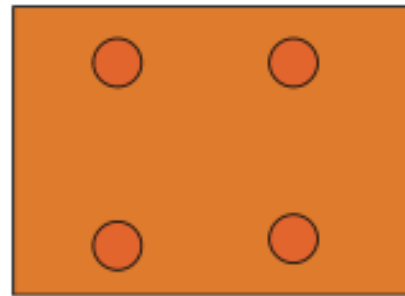
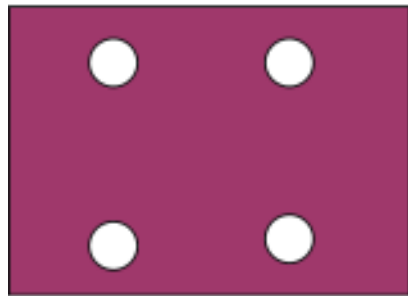
→ Information technology (e.g data storage)



- Change in air-cavity length (L)
- ⇒ Change in optical path
- ⇒ Shifting of the cavity peak position

Novel low-cost technology

Bridge-like dielectric membranes



Lithography:
Sacrificial layer
(standard photo
resist)

Deposition:
Low temperature
 SiO_2 , Si_3N_4
(PECVD)

Patterning:
Vertical (Mesa): dry
etching (CHF_3/Ar)
Lateral: lithography

Underetching:
Wet etching:
Aceton/2-isopropanol
Dry etching: O_2 -
plasma

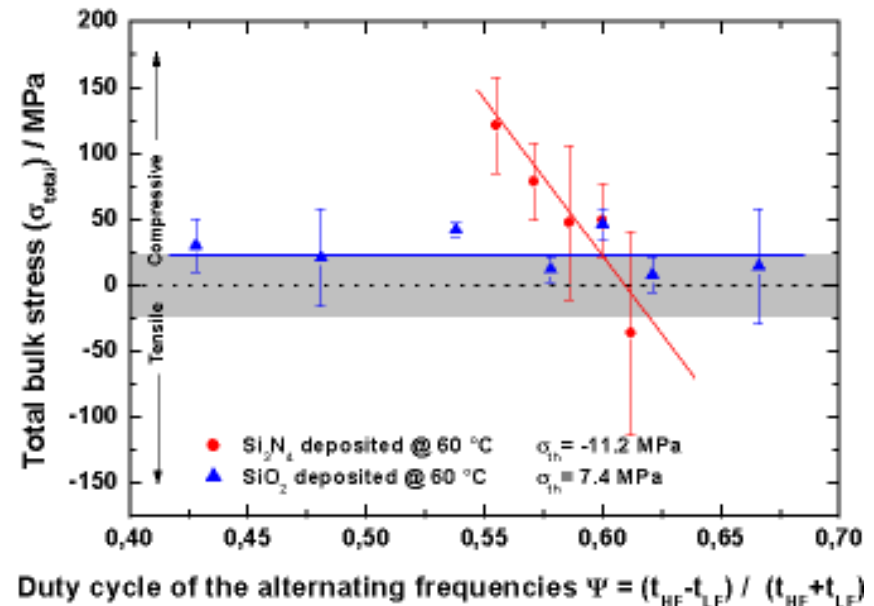
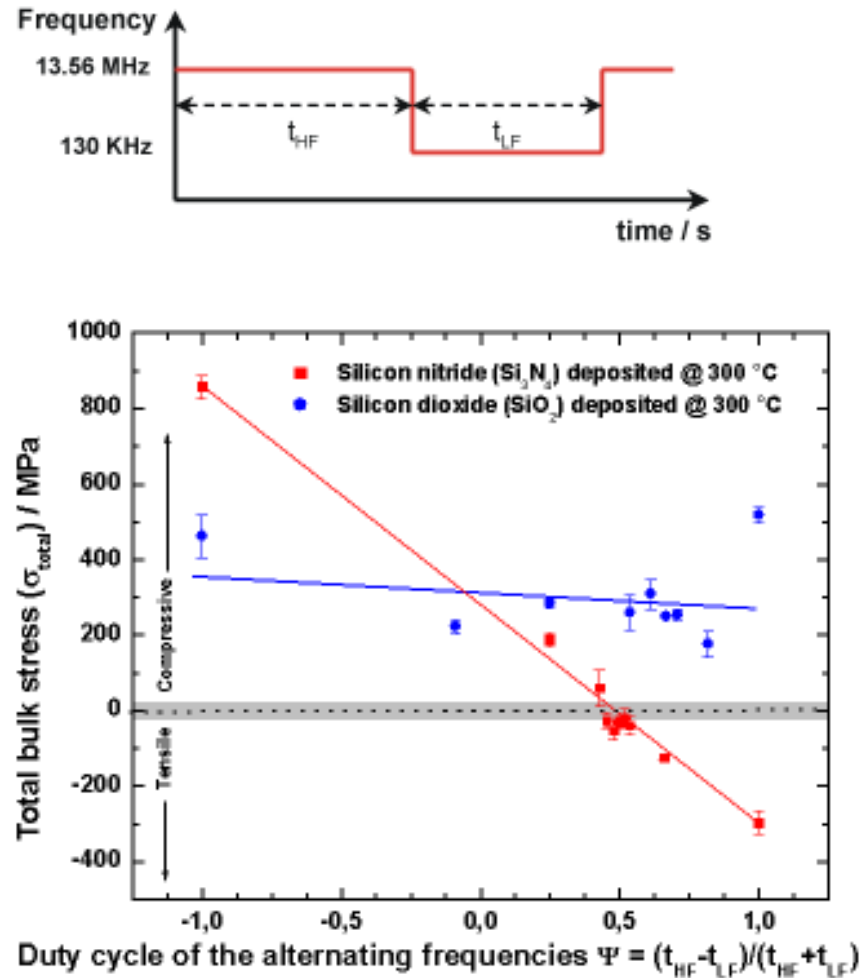
Material characterization

Stress of PECVD Si_3N_4 and SiO_2

Stress control

Range: 850 MPa \rightarrow -300 MPa
for SiNx @ 300 °C

Silicon dioxide does not depend strongly
on the frequency

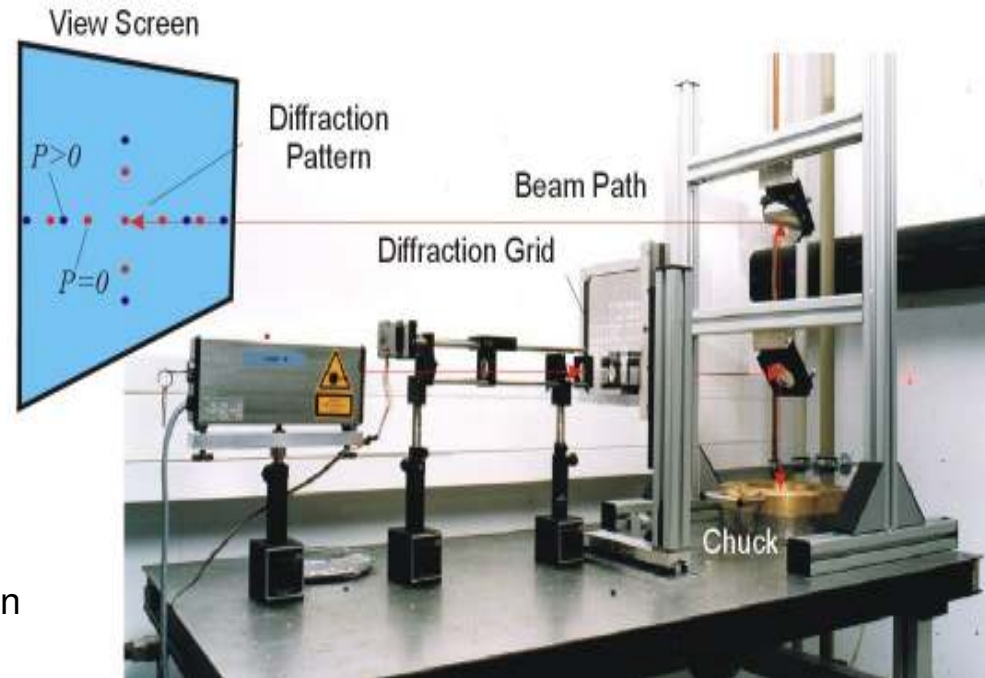


Material characterization

Macro stress measurement set-up

$$\sigma_{Bulk, total} = \frac{E_s}{6(1-\nu_s)} \frac{t_s^2}{t_f} \left(\frac{1}{R_2} - \frac{1}{R_1} \right)$$

- E_s Young modulus of the substrate
- ν_s Poisson ratio of the substrate
- t_s Thickness of the substrate
- t_f Thickness of the layer
- R_2 Radius of curvature before the deposition
- R_1 Radius of curvature after the deposition

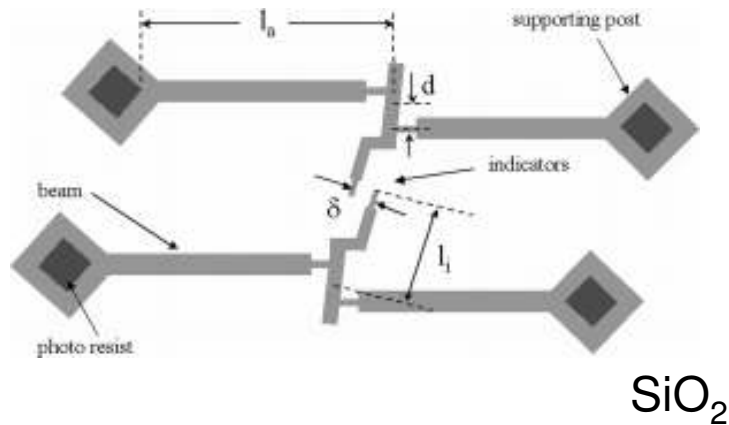


Advantage: fast estimation of the global stress

Disadvantage: inhomogeneities in the layers can not be considered

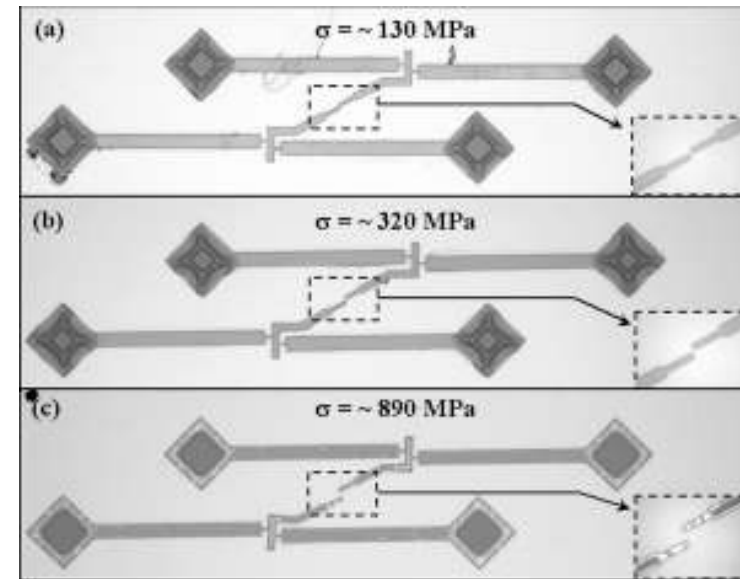
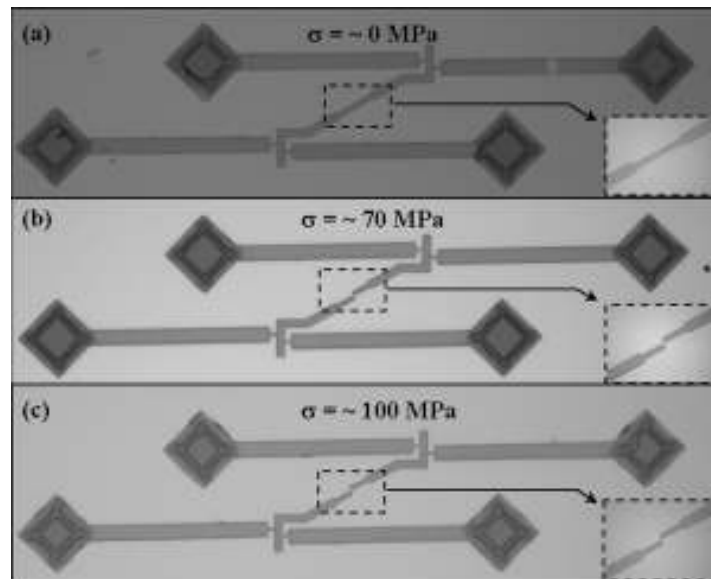
Material characterization

Stress measurement: micro stress



$$\sigma_{Bulk} = \frac{E}{1 - \nu} \frac{d}{2l_i l_a} \delta$$

σ_{hom} Homogeneous stress
 E Young modulus of the layer
 ν Poisson ratio of the layer



Cavity length (L), ROC and FWHM of the filters are affected by the stress and lateral design

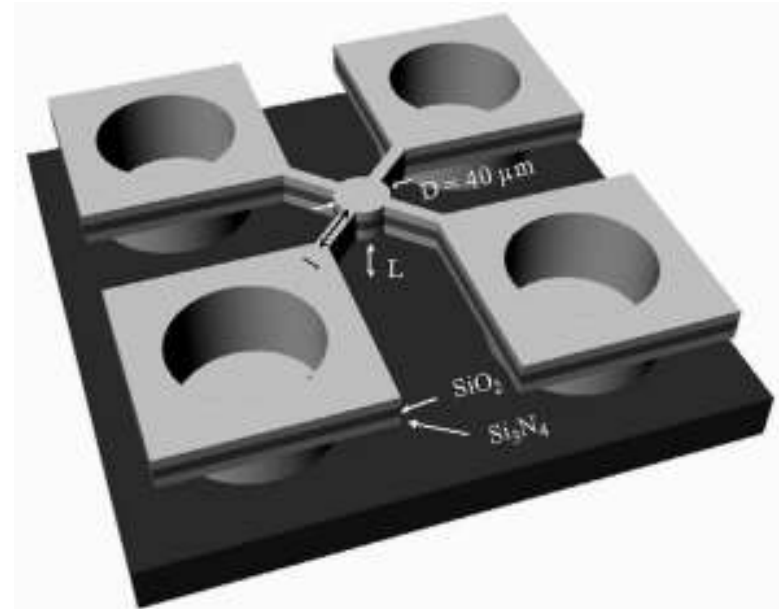
Different structures on the mask ensure a wide range of variation of the optical parameters in one Batch-Process:

ROC: -9 mm.....15 mm

Cavity length: 130 nm.....13 μm

FWHM: 1.5 nm.....70 nm

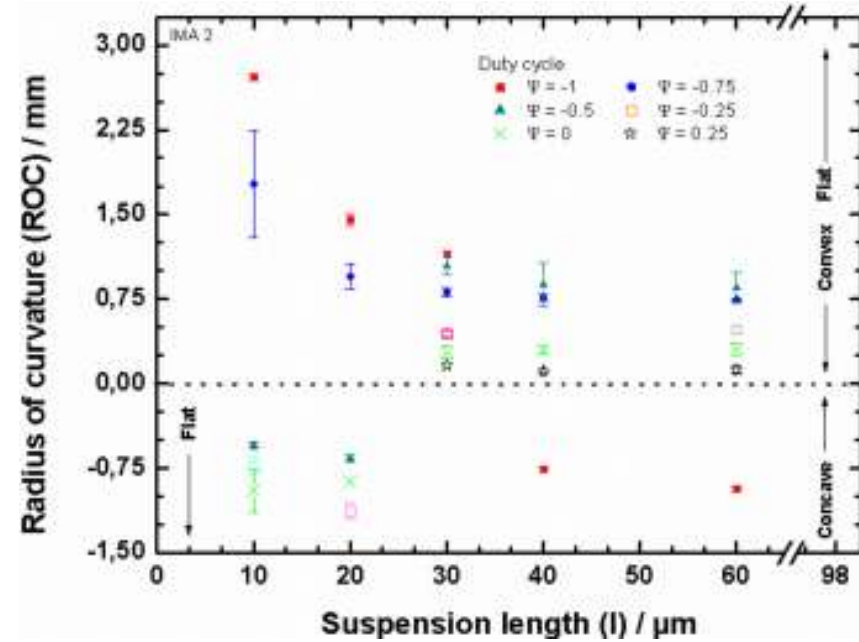
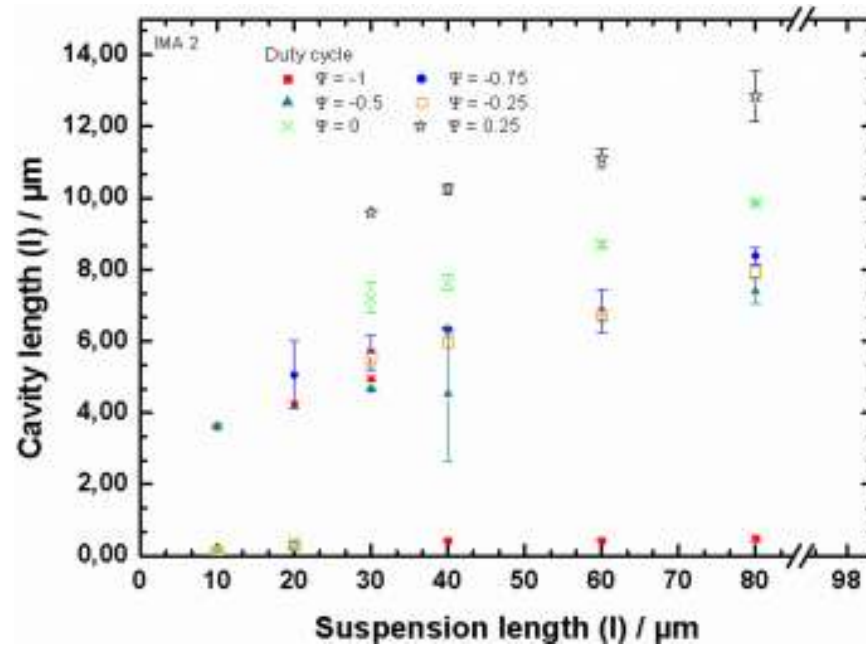
$\Delta\lambda =$ 200 nm (Filter dip positions)



3D view of a suspended membrane, implemented bei the mask set **IMA2**

Micro devices

Examples of optical parameters variation



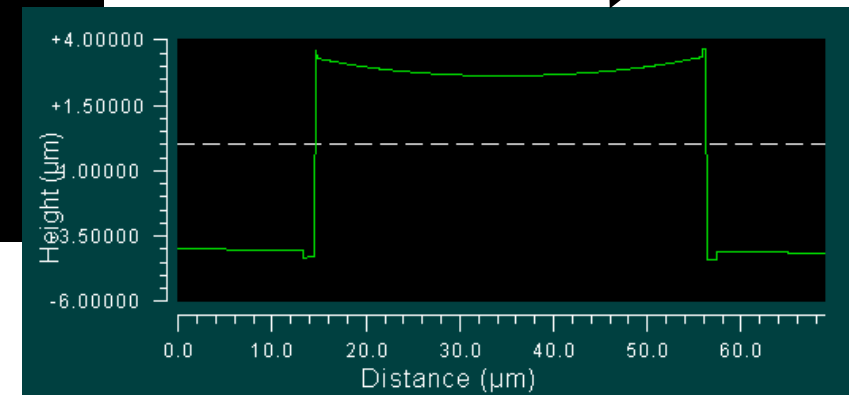
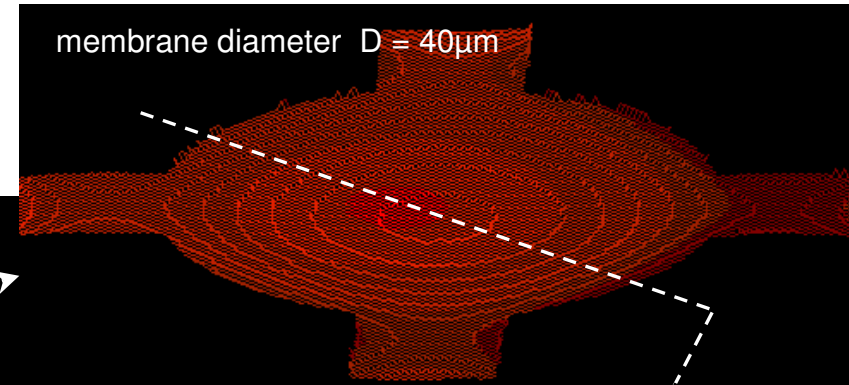
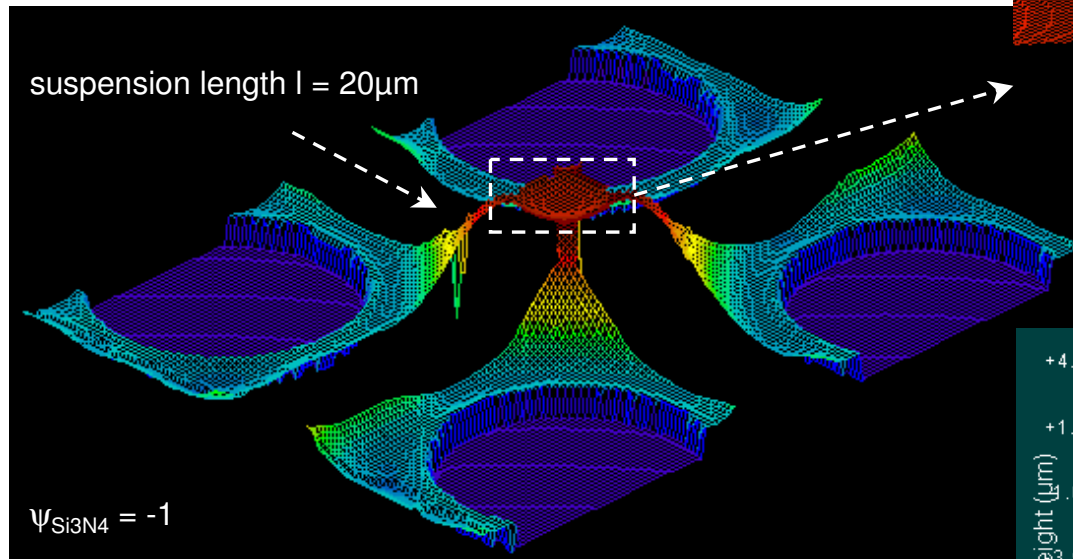
Examples:

Variation of the optical properties of micro devices in a Batch-Process

Curved dielectric membrane

Concave DBR

White light interferometry graphs of dielectric ($\text{Si}_3\text{N}_4/\text{SiO}_2$) membranes, directly in contact to the base plane

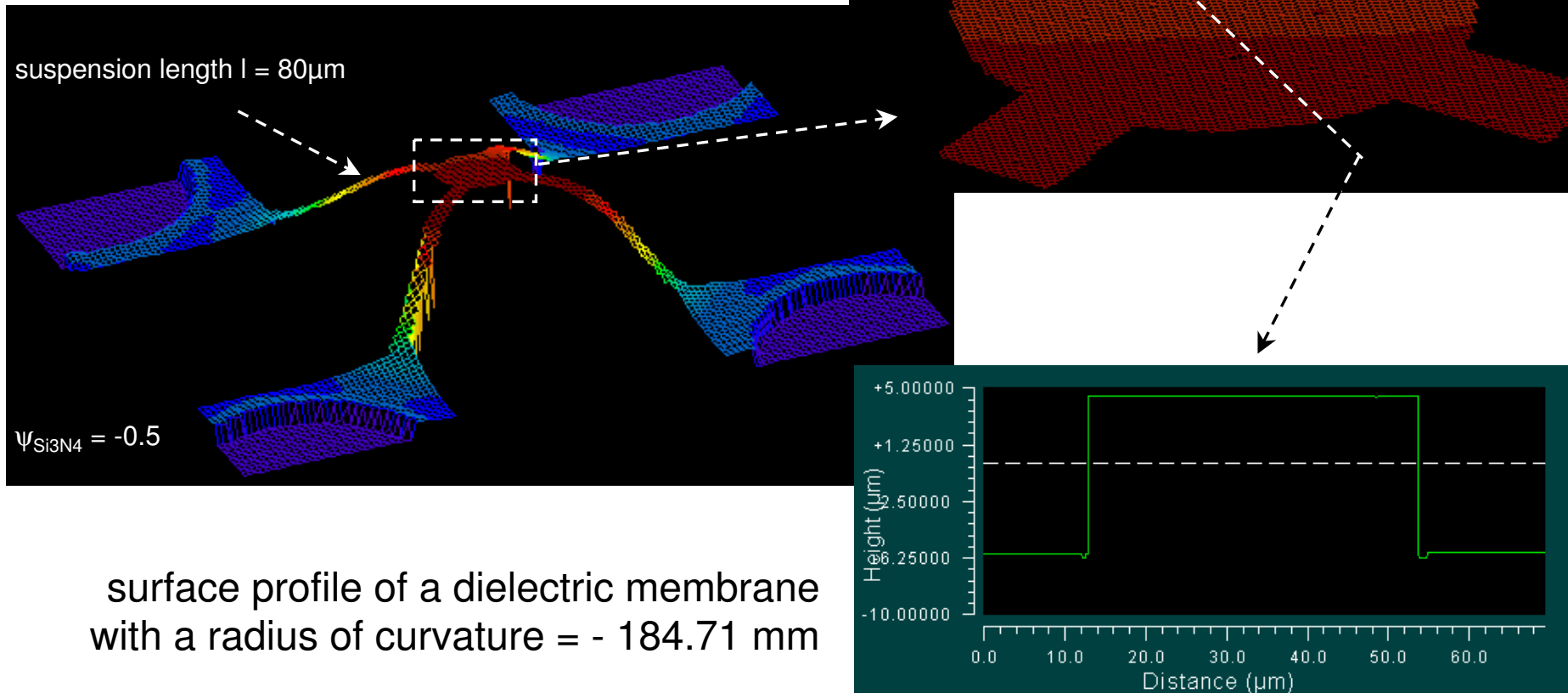


surface profile of a dielectric membrane with a radius of curvature = - 0.31 mm

Curved dielectric membrane

Flat DBR

White light interferometry graphs of dielectric ($\text{Si}_3\text{N}_4/\text{SiO}_2$) membranes, directly in contact to the base plane

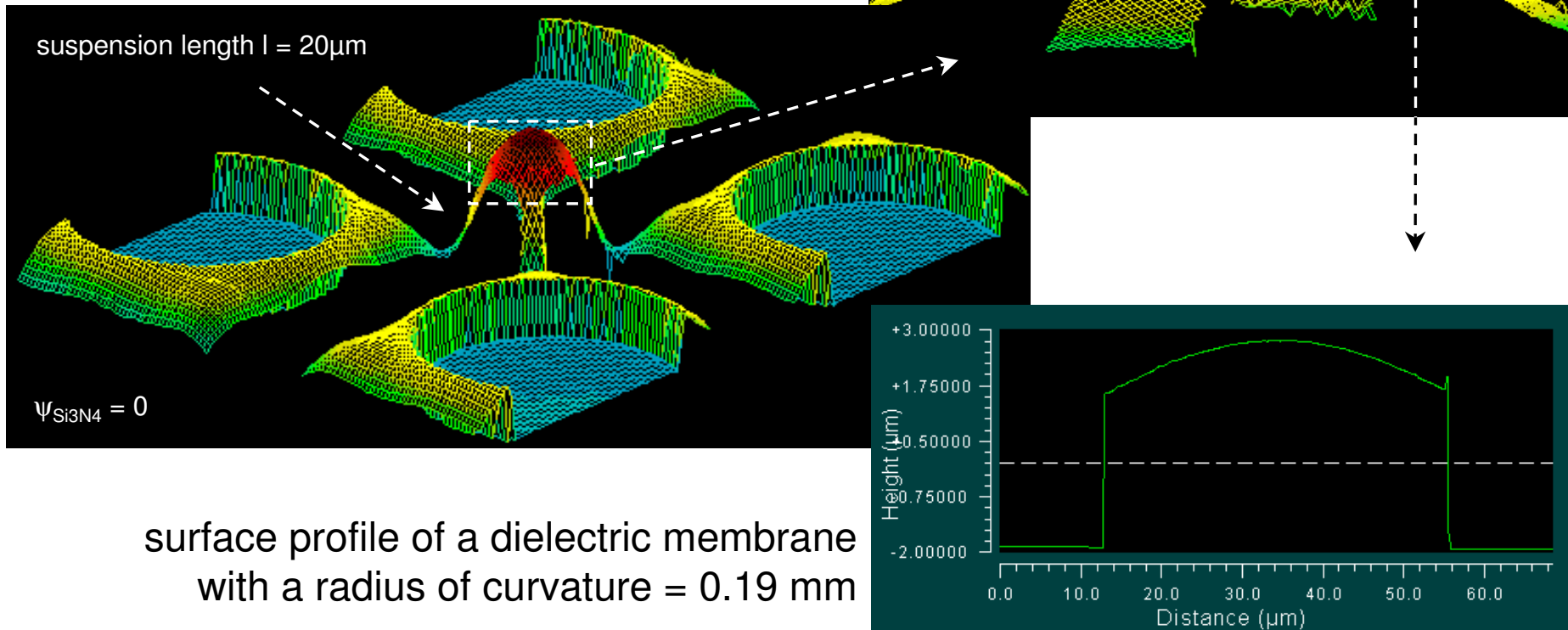


surface profile of a dielectric membrane with a radius of curvature = - 184.71 mm

Curved dielectric membrane

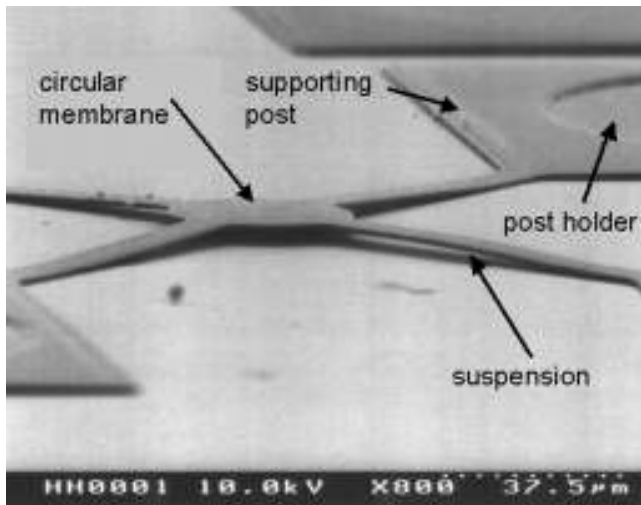
Convex DBR

White light interferometry graphs of dielectric ($\text{Si}_3\text{N}_4/\text{SiO}_2$) membranes, directly in contact to the base plane

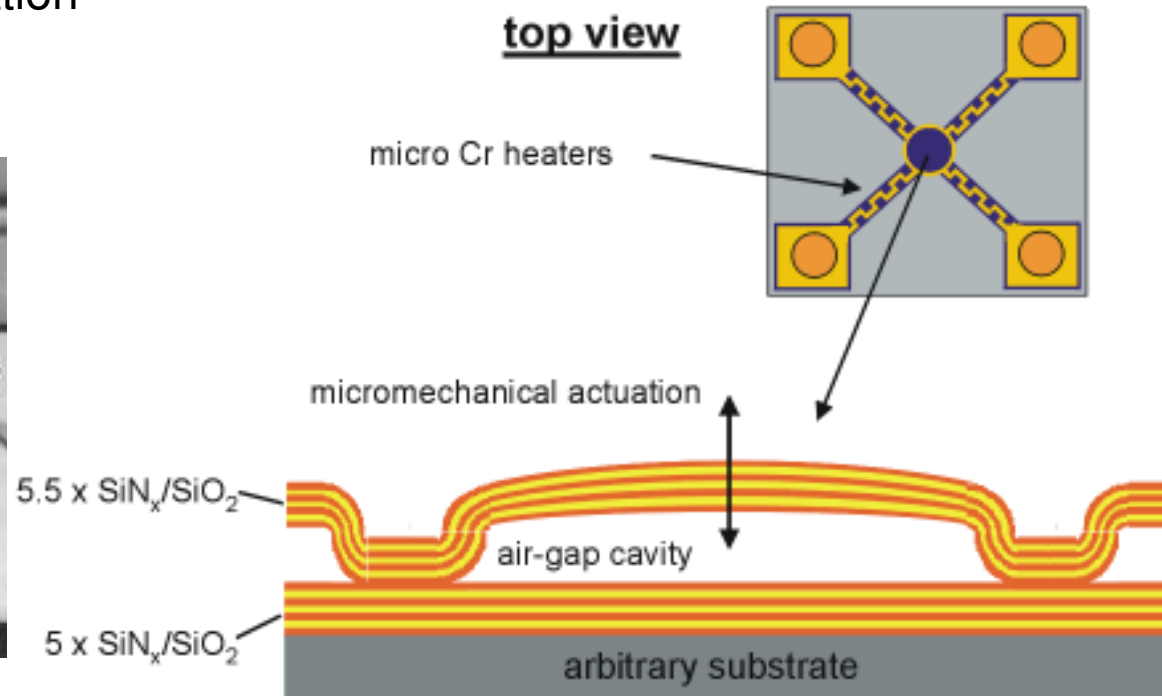


Design of novel tunable low-cost dielectric filter

- Integration of active polymers possible
- High index contrast in DBR's
- Tuning by thermal actuation
- Arbitrary substrates
- Low-cost



SEM picture of a 3.5 periods $\text{Si}_3\text{N}_4/\text{SiO}_2$ suspended membrane



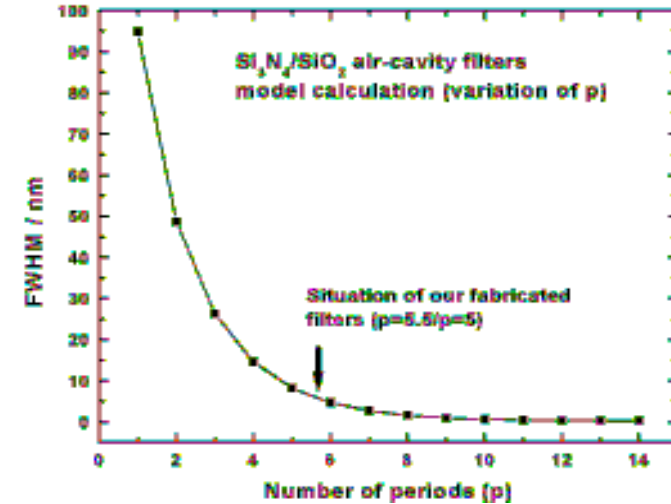
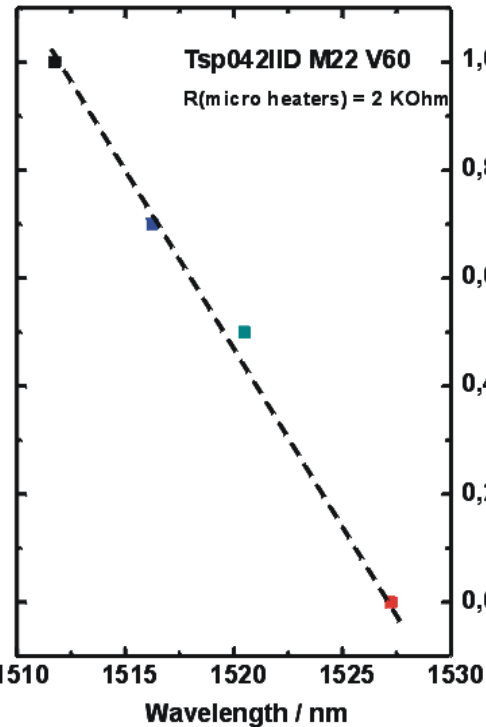
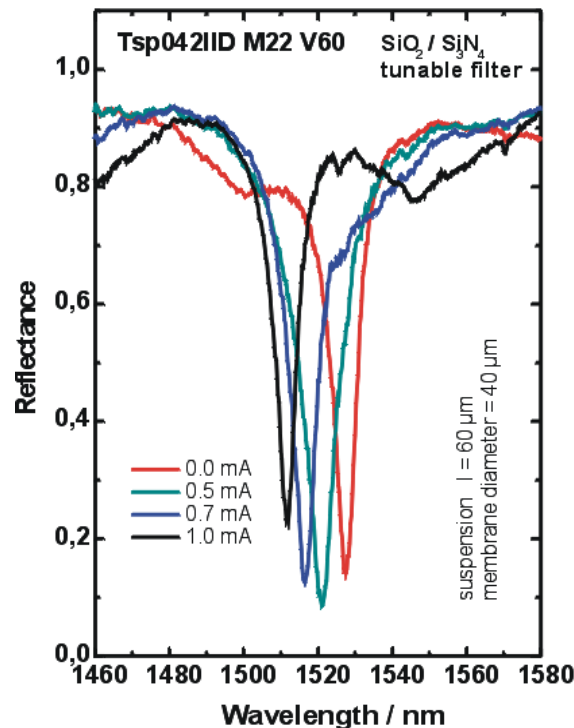
Tunable dielectric filter

Thermal actuation

FWHM = 8 nm

Tuning = 15 nm/mA @

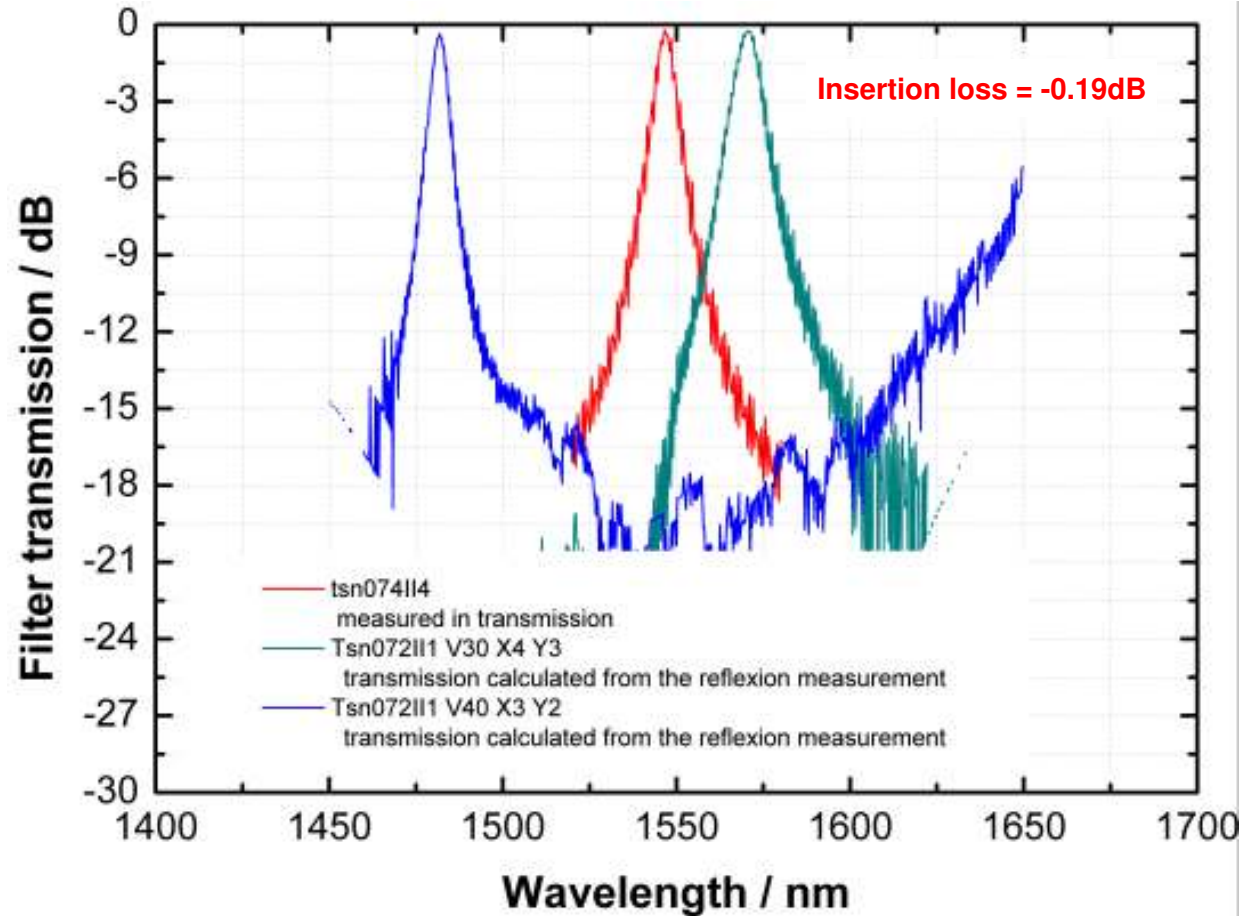
2 kOhm heaters resistance



FWHM can be improved by increasing the reflectivity of the DBRs and by increasing the cavity length (L)

Dielectric filter

Insertion loss

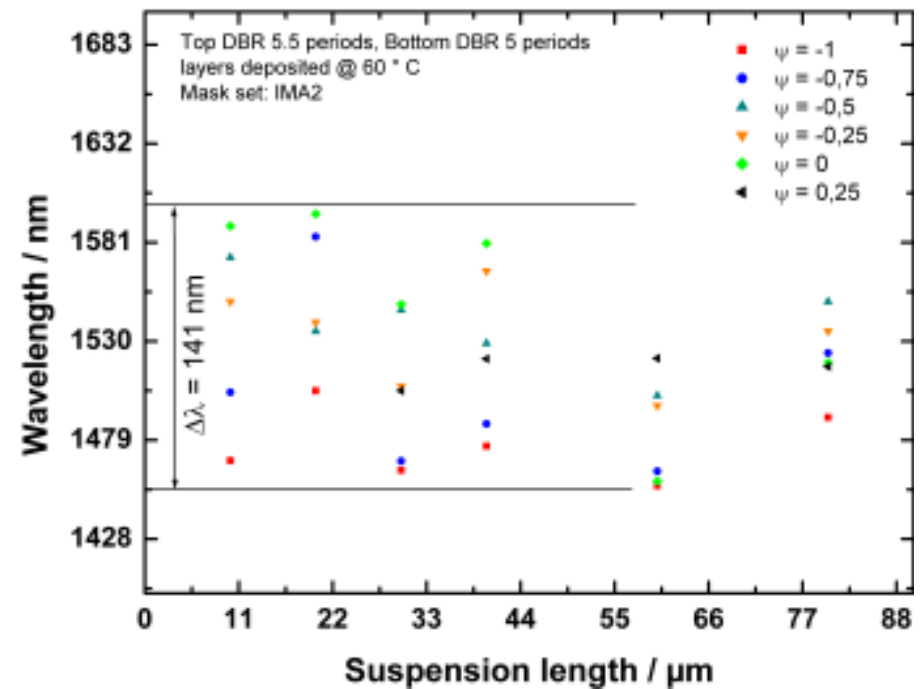
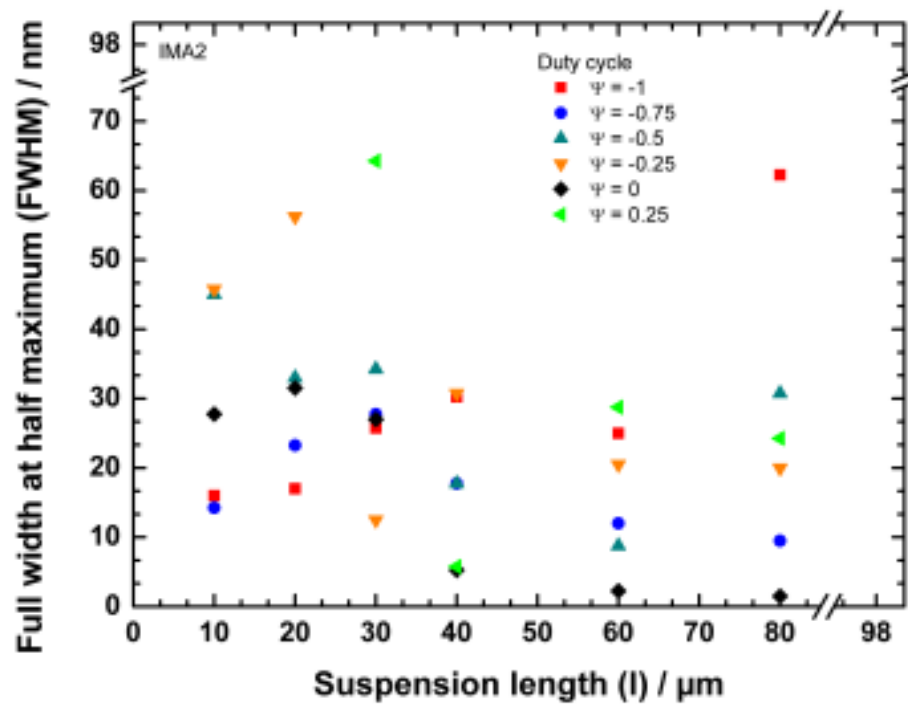


Insertion loss = -0.19 dB
FWHM = 5.5 nm
DBR1 = 5 periods
DBR2 = 5.5 periods
Sacrificial layer = 2.2 μm

Tunable air-gap dielectric filter

FWHM

Depending on the lateral design and stress, different FWHM and filter dip positions are possible



Non tunable VCSEL (optically pumped)

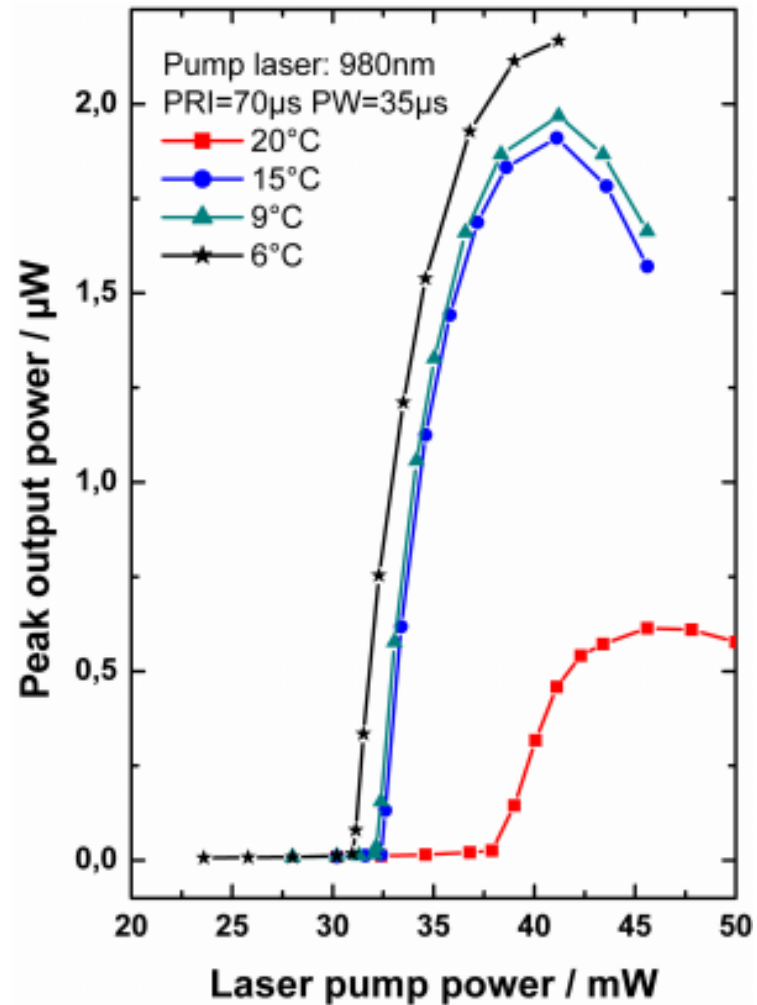
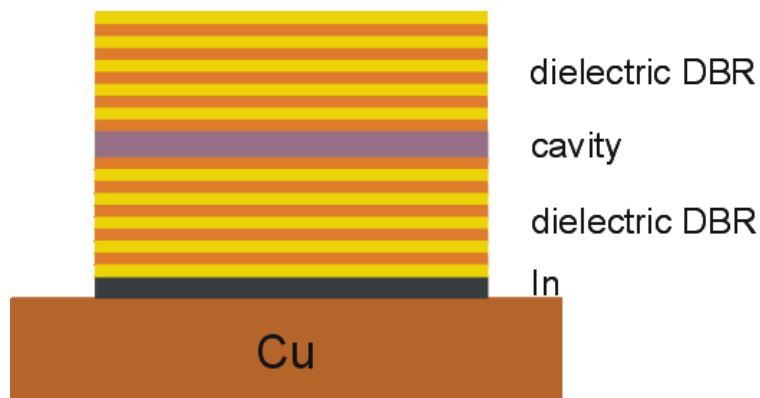
1-Chip concept

VCSEL

Emission wavelength 1566.7 nm
Max. output power 0.5 μ W @ RT
FWHM < 0.1 nm
SMSR 25 dBm

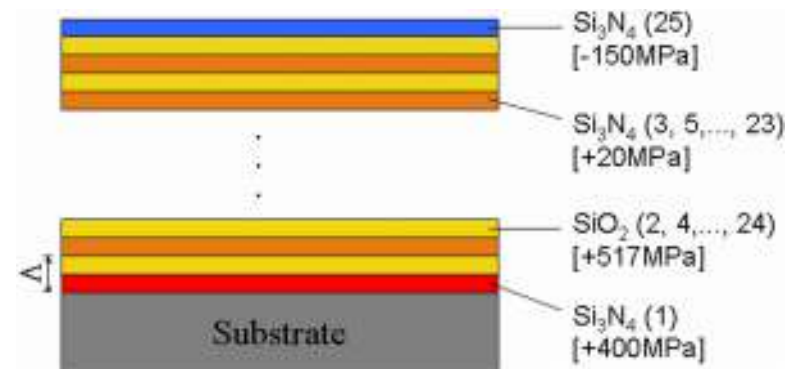
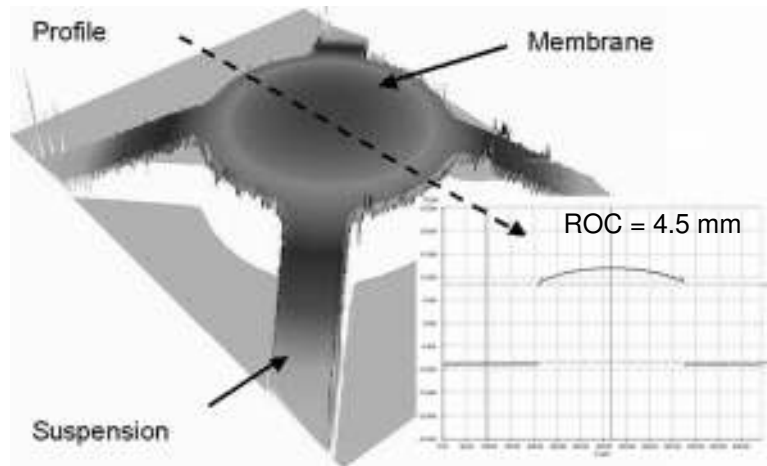
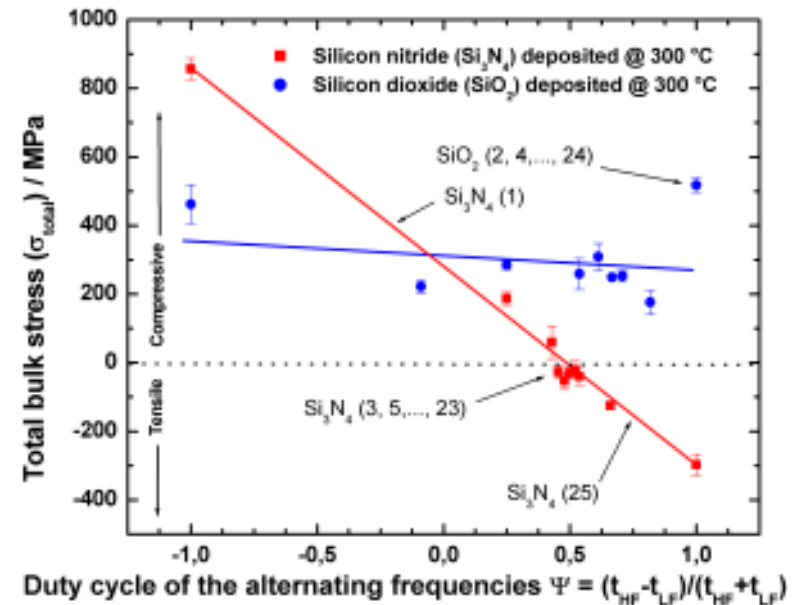
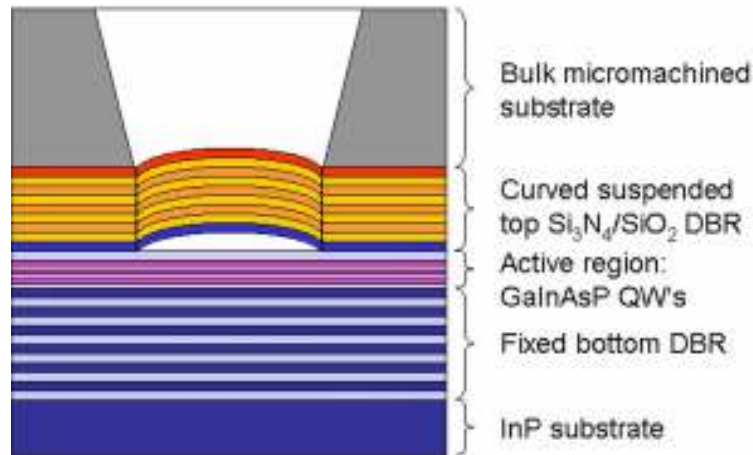
Pump laser

Pump wavelength 980 nm
Pulse repetition interval (PRI) 70 μ s
Pulse width 35 μ s



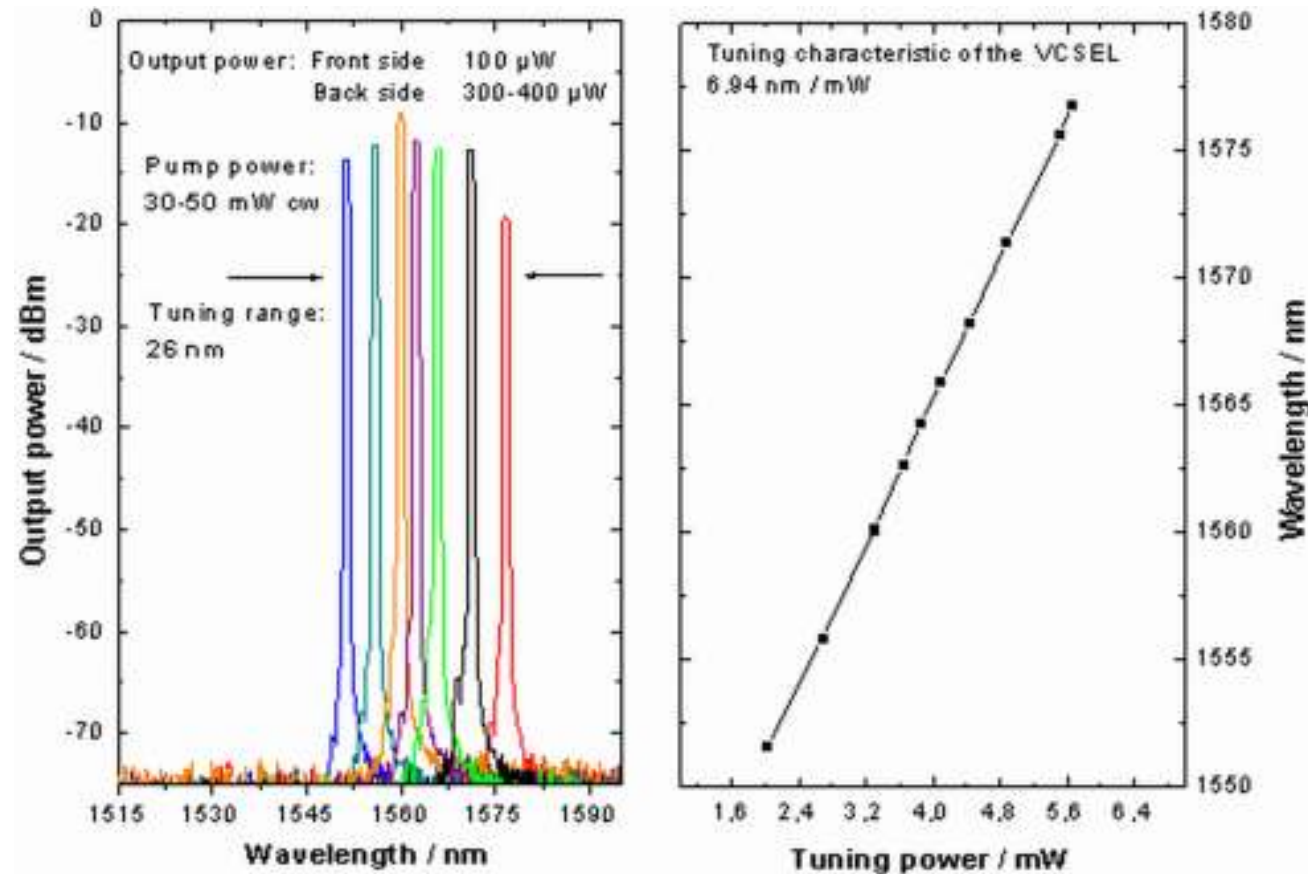
Tunable VCSEL (optically pumped)

2-Chip concept



Tunable VCSEL (optically pumped)

Results



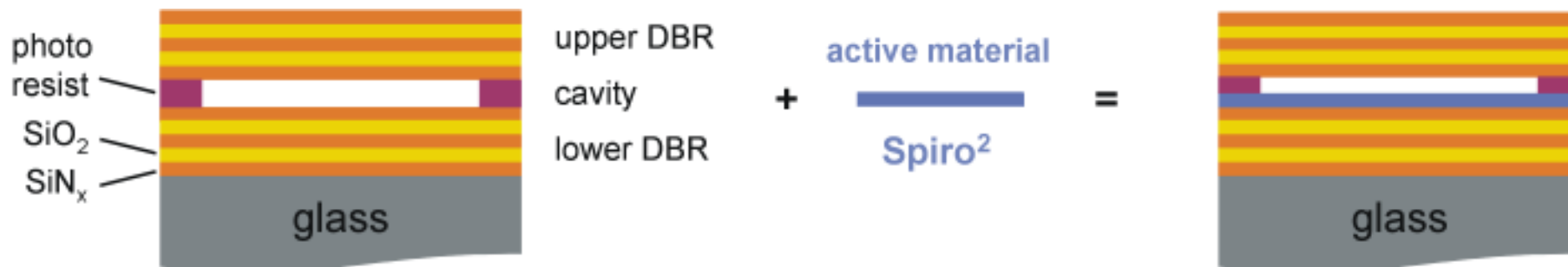
New generation of stress induced curved membranes

2D modell calculations of the membrane (TUD) + Electrically pumped half-VCSEL (WSI)

Implementation by PECVD (IMA) delivered ROC = 1mm suitable for elec. pump. tun. VCSEL

Organic active devices

Passive filter device + Active material = Active organic device



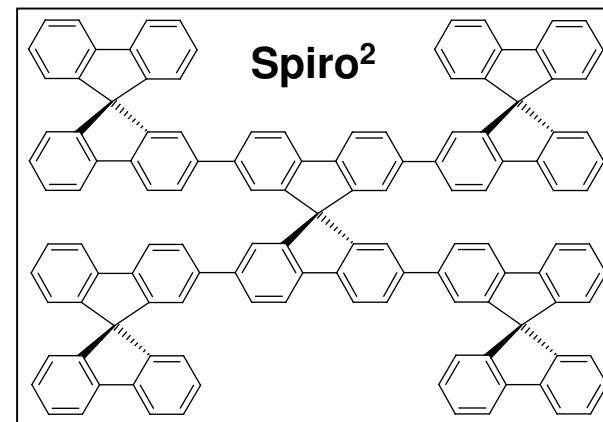
Spiro²: 2,2',7,7'-Tetra-(9,9'-spirobifluoren-2-yl)-9,9'-spirobifluorene

Physical properties

$T_g=273^\circ\text{C}$ $T_m=447^\circ\text{C}$ \Rightarrow good process ability

Optical properties

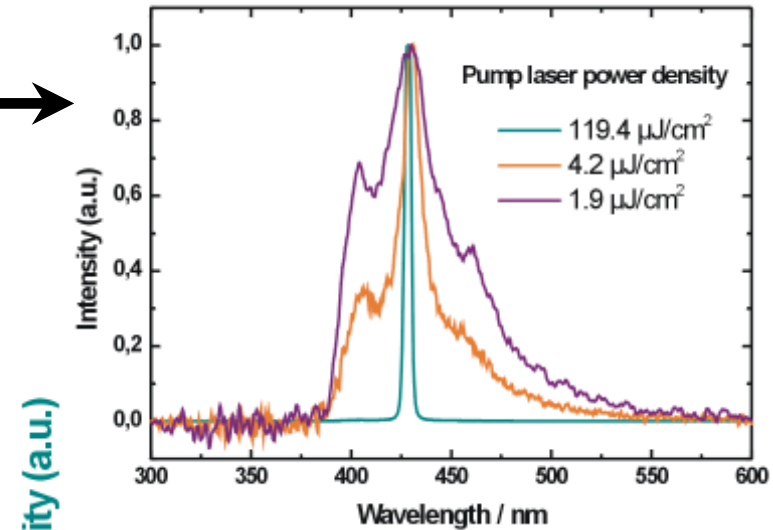
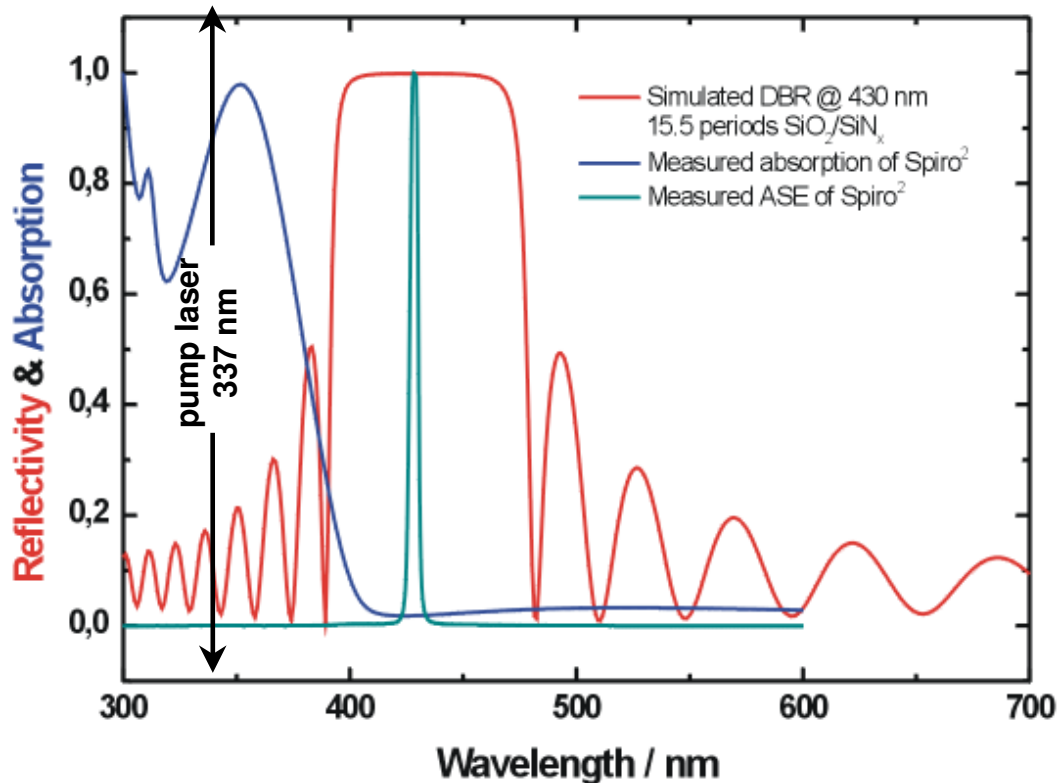
$\lambda_{\text{abs}}=353\text{nm}$ $\lambda_{\text{em}}=429\text{nm}$



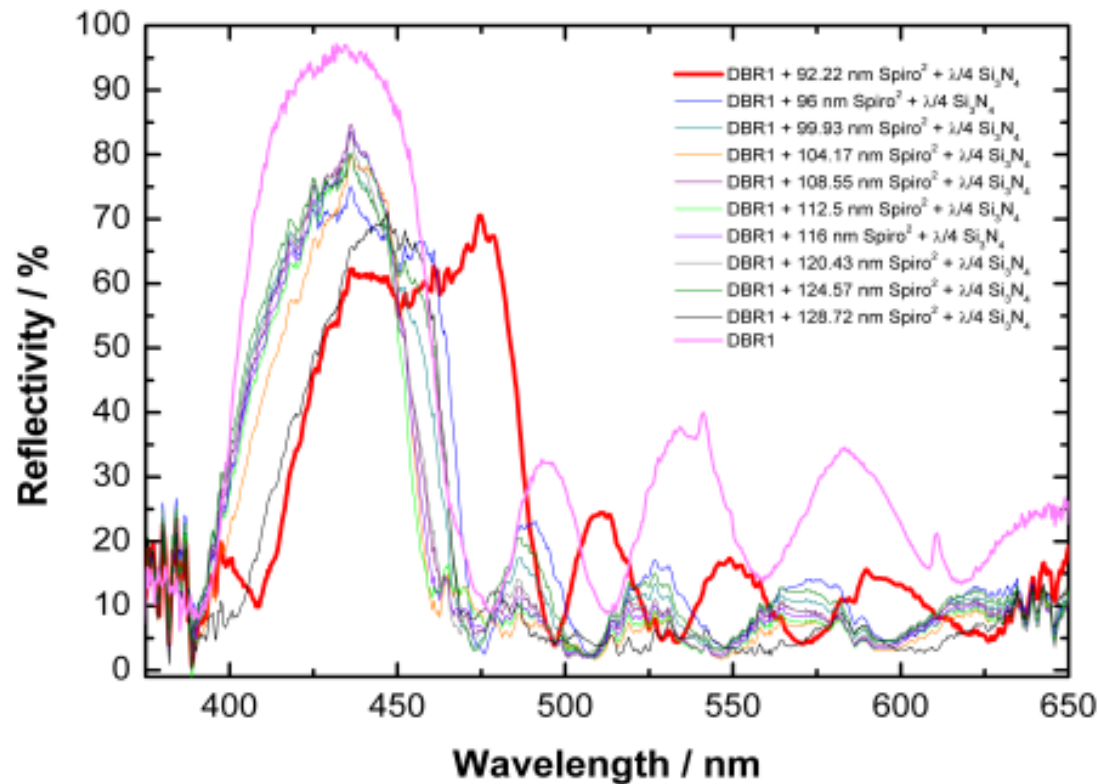
New organic light emitting material

Spiro²

Amplified spontaneous emission (ASE) of Spiro² is observed @ 3.2 $\mu\text{J}/\text{cm}^2$ laser pumping threshold power density



The ASE peak is located @ 428nm and has a FWHM of 3.2nm



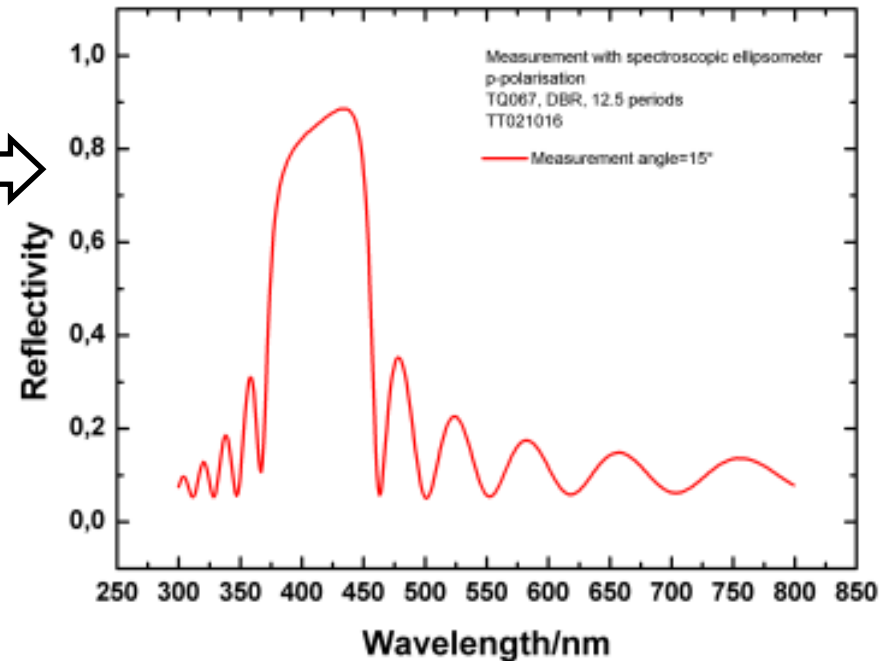
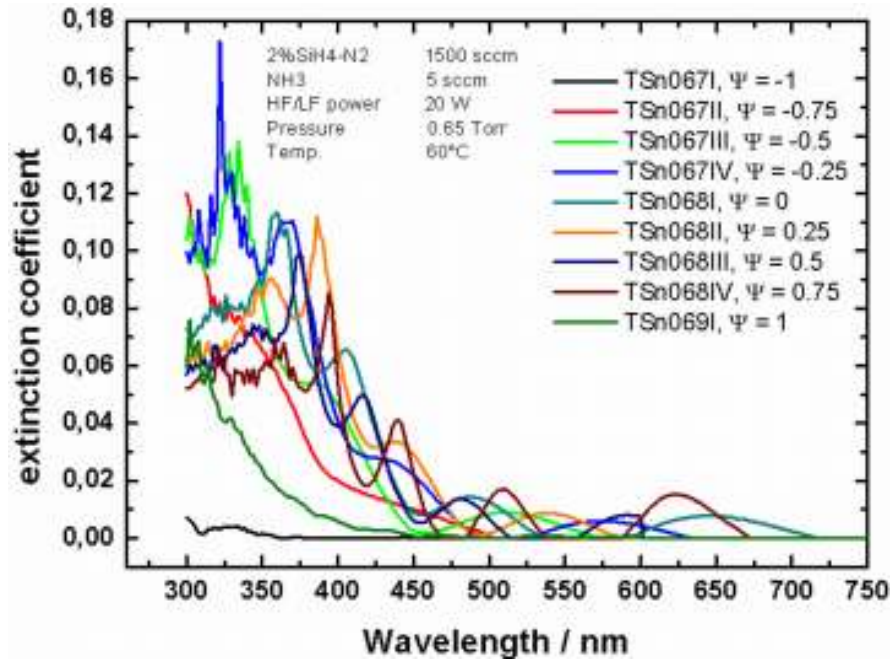
Half cavity dip is observed in organic micro cavity devices:
DBR1 + 92.22 nm Spiro² + $\lambda/4$ Si₃N₄

DBR2: Organic VCSEL in the blue wavelength range ???

IMA know-how:
Micromechanics tunable
organic blue VCSEL

Material disadvantage

Si_3N_4 : Absorption



⇒ Novel optoelectronic devices requires new materials and technologies (e.g. IBD)

Summary / Objectives

Material characterization

- Stress control in dielectric films
- Control of the cavity length, ROC, FWHM in optical filters by varying the stress and lateral design
- Implementation of concave, convex and planar optical suspended membranes

Air-gap micro-cavity devices

- Tunable filters (thermal actuation, 15nm/mA, FWHM=8nm)
- Non tunable VCSELs (1-chip concept, output power 2.5 μ W)
- Tunable VCSELs (2-chip concept, output power 300-400 μ W, 26nm tuning range)

New organic light emitting material and devices

- Excellent optical and mechanical properties of Spiro²
- Half organic micro cavity devices

Objectives

- to enhance optical and mechanical device properties
- Tunable organic blue RCLEDs and maybe tunable organic blue VCSELs??
- Electrically pumped tunable VCSEL (1.55 μ m)
- Application of new materials (low losses) and new deposition techniques (IBD-systems)

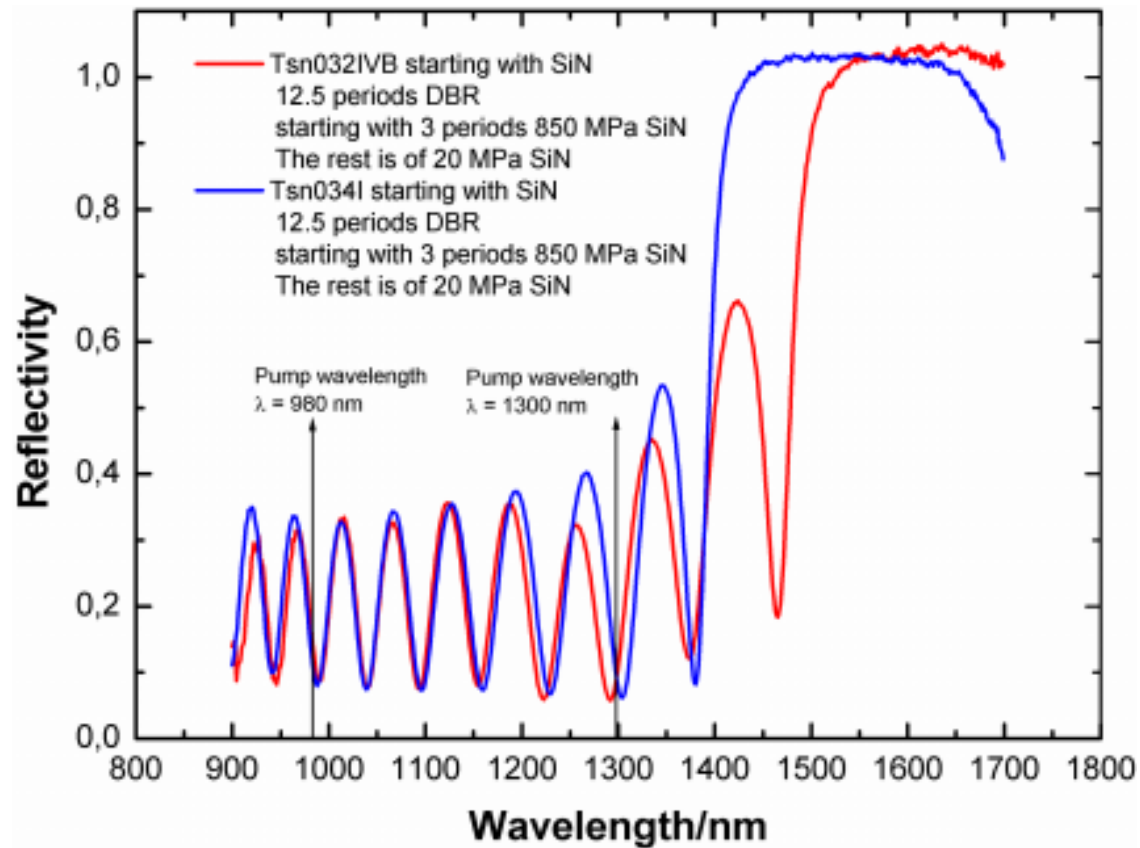
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Distributed Bragg reflectors

Suitable for optical pumping



DBRs with different stress values and central wavelengths suitable for optical pumping are possible